

SECRET #4

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 15, 2000.

Attorney: Dean E. McConnell, Reg. No. 44,916

Date: December 15, 2000



PATENT

Case No.: 10022/043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
John K. Kaltenmark, et al.) Group Art Unit: 2161 (per
Serial No.: 09/676,584) Filing Receipt)
Filed: September 29, 2000) Examiner: Not Assigned
For: OPERATIONS)
ARCHITECTURES FOR)
NETCENTRIC COMPUTING)
SYSTEMS)

TRANSMITTAL LETTER
&
REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This letter is in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION - *Filing Date Granted* mailed November 28, 2000, in the above-referenced application. The following items are enclosed herewith:

1. A copy of the NOTICE TO FILE MISSING PARTS - *Filing Date Granted*;
2. A Declaration for Patent Application executed by the

inventors, John K. Kaltenmark and Christopher M. Degiorgio, respectively, and identifying the above-referenced application to which it relates; and

3. Check in the amount of \$964.00 representing the surcharge for the late filing of the Declaration for Patent Application for a large entity and the filing fee.

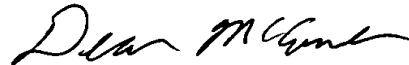
Also submitted herewith is a red-lined version of the Filing Receipt for this application. The correct names of the inventors for this application are as follows: John K. Kaltenmark and Christopher M. Degiorgio. A petition to correct the names of the inventors prior to filing of the declarations was filed in this application on November 20, 2000. The petition respectfully requested the addition of Christopher M. Degiorgio as a named inventor for this application. Applicants respectfully request the granting of the petition and the correction of the filing receipt to indicate the proper names of each inventor for this application.

Serial No. 09/676,584

Filed: September 29, 2000

The Commissioner is hereby authorized to charge any additional payment that is required or to credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this letter is enclosed.

Respectfully submitted,

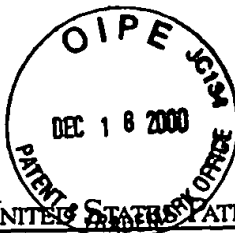


Dean E. McConnell
Atty. Reg. No. 44,916

DEM/sv

Attachments: Check in the amount of \$964.00
Notice to File Missing Parts of Application -
Filing Date Granted
Declarations for Patent Application
Red-Lined Version of Filing Receipt
Duplicate Transmittal Letter

BRINKS HOFER GILSON & LIONE
One Indiana Square, Suite 2425
Indianapolis, Indiana 46204-2033
Tel: (317) 636-0886
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DEC 11 2000

BRINKS, HOFER,
GILSON & LIONE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/676,584 | 09/29/2000 | 2161 | 0 | 10022/043 | 3 | 28 | 3 |

FILING RECEIPT



OC000000005580708

BRINKS HOFER GILSON & LIONE
One Indiana Square, Suite 2425
Indianapolis, IN 46204

Date Mailed: 11/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John ^{K.} Kaltenmark, St. Charles, IL;

Christopher M. Degiorgio, Chicago, IL

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/156,962 10/01/1999

Foreign Applications

If Required, Foreign Filing License Granted 11/28/2000

Title

Operations architectures for netcentric computing systems

Preliminary Class

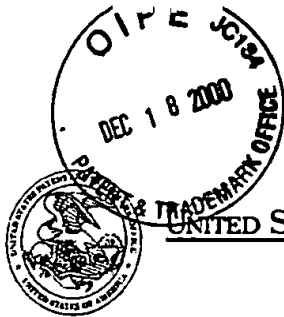
705

Data entry by : TEGBARU, HAIMANOT

Team : OIPE

Date: 11/28/2000





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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/676,584 | 09/29/2000 | John J. Kaltenmark | 10022/043 |

BRINKS HOFER GILSON & LIONE
One Indiana Square, Suite 2425
Indianapolis, IN 46204

FORMALITIES LETTER



OC000000005580709

Date Mailed: 11/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$144.
 - \$144 for 8 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 964.

*A copy of this notice **MUST** be returned with the reply.*

Haimanet Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 JADD01 00000072 231925 09676584
01 FC:103 144.00 OP
02 FC:105 130.00 OP
03 FC:101 690.00 OP
20.00 CH